

Porter Avenue Capital Management, LLC

25N.Elm St. AltoPass,Illinois62905
(618)833-0900 info@porteravenue.com

PRIVACY POLICY STATEMENT

This notice is being provided to you in accordance with the Securities and Exchange Commission’s rule regarding the privacy of consumer financial information (“Regulation S-P”). Please take the time to read and understand the privacy policies and procedures that we have implemented to safeguard your nonpublic personal information.

Information We Collect

Porter Avenue Capital Management, LLC must collect certain personally identifiable financial information about its customers to provide financial services and products. The personally identifiable financial information that we gather during the normal course of doing business with you may include:

- information we receive from you on applications or other forms
- information about your transactions with us, our affiliates, or others
- information we receive from a consumer reporting agency

Information We Disclose

We do not disclose any nonpublic personal information about our customers or former customers to anyone, except as permitted or required by law, or as necessary to provide services to you. In accordance with Section 248.13 of Regulation S-P, we may disclose all of the information we collect, as described above, to certain nonaffiliated third parties such as attorneys, accountants, auditors, and persons or entities that are assessing our compliance with industry standards. We enter into contractual agreements with all nonaffiliated third parties that prohibit such third parties from disclosing or using the information other than to carry out the purposes for which we disclose the information.

SMS Communication and Mobile Information

Porter Avenue Capital Management may communicate with clients and prospective clients via SMS text messaging for purposes such as responding to inquiries, scheduling appointments, confirming meetings, and providing service-related follow-up related to financial planning or investment discussions. Message frequency varies. Message and data rates may apply.

Recipients may opt out of receiving SMS messages at any time by replying STOP. For assistance, reply HELP or contact us directly at (618) 833-0900 or info@porteravenue.com.

No mobile information will be shared with third parties or affiliates for marketing or promotional purposes. All categories described in this privacy policy exclude text messaging originator opt-in data and consent; this information will not be shared with any third parties.

Confidentiality and Security

We restrict access to nonpublic personal information about you to those Employees who need to know that information to provide financial products or services to you. We maintain physical, electronic, and procedural safeguards that comply with federal standards to guard your nonpublic personal information.

Accuracy

Porter Avenue Capital Management, LLC strives to maintain accurate personal information in our Client files at all times. However, as personal situations, facts, and data change over time; we encourage our Clients to provide feedback and updated information to help us meet our goals.

